

# FOLLOW-ON DOCUMENT INDEX SHEET

06/12/06

<input type="checkbox"/>	CFILE	Request for Corrected Filing Receipt	<input type="checkbox"/>	PEFRSEQ	Pre-Exam Formalities Sequence Reply	<input type="checkbox"/>	ACPA	Continuing Prosecution Application	<input type="checkbox"/>
<input type="checkbox"/>	IFEE	Issue Fee Transmittal PTOL 85 B	<input type="checkbox"/>	CRFD	Computer Readable Form Defective	<input type="checkbox"/>	AF/D	Affidavit or Exhibit Received	<input type="checkbox"/>
<input type="checkbox"/>	PEFR	Pre-Exam Formalities Response	<input type="checkbox"/>	CRFE	Computer Readable Form 'ENTERED'	<input type="checkbox"/>	AP.B	Appeal Brief	<input type="checkbox"/>
<input type="checkbox"/>	PEFRREISS	Pre-Exam Formalities Reissue Response	<input type="checkbox"/>	CRFL	CRF Transfer Request	<input type="checkbox"/>	C680	Request for Corrected Notice/Allowance	<input type="checkbox"/>
<input type="checkbox"/>	A...	Amendment including Elections	<input type="checkbox"/>	CRFS	Computer Readable Form Statement	<input type="checkbox"/>	COCIN	Papers filed re: Certificate of Corrections	<input type="checkbox"/>
<input type="checkbox"/>	A.NA	Amendment after Notice of Allowance	<input type="checkbox"/>	SEQLIST	Sequence Listing	<input type="checkbox"/>	EABN	Request for Express Abandonment	<input type="checkbox"/>
<input type="checkbox"/>	A.NE	After Final Amendment	<input type="checkbox"/>	EARLYPUB	Request for Early Publication	<input type="checkbox"/>	IRFND	Refund Request	<input type="checkbox"/>
<input type="checkbox"/>	A.PE	Preliminary Amendment	<input type="checkbox"/>	PGEA	Reg Express Aband to Avoid Publication	<input type="checkbox"/>	L_RIN	Any Incoming L&R	<input type="checkbox"/>
<input type="checkbox"/>	REM	Applicant Remarks in Amendment	<input type="checkbox"/>	PGA9	Reg for Corrected Pat App Publication	<input type="checkbox"/>	N/AP	Notice of Appeal	<input type="checkbox"/>
<input type="checkbox"/>	ELC.	Response to Election/Restriction	<input type="checkbox"/>	PGREF	Reg for Refund of Publication Fee Paid	<input type="checkbox"/>	N417	Copy of EFS Receipt Acknowledgement	<input type="checkbox"/>
<input type="checkbox"/>	RCEX	Request for Continued Examination	<input type="checkbox"/>	PGP DRAWINGS	Box PG Pub Drawings	<input type="checkbox"/>	PROTEST	Protest Documents Filed by 3rd Party	<input type="checkbox"/>
<input type="checkbox"/>	SPEC	Specification	<input type="checkbox"/>	RESC	Rescind Non-Publication Request	<input type="checkbox"/>	PRO TRANS	Translation of Provisional in Nonprov App	<input type="checkbox"/>
<input type="checkbox"/>	CLM	Claim	<input type="checkbox"/>	XT/	Extension of Time filed separate	<input type="checkbox"/>	C.AD	Change of Address	<input type="checkbox"/>
<input type="checkbox"/>	ABST	Abstract	<input type="checkbox"/>	371P	PCT Papers in a 371P Application	<input type="checkbox"/>	PA..	Change in Power of Attorney	<input type="checkbox"/>
<input type="checkbox"/>	DRW	Drawings	<input type="checkbox"/>	IDS	IDS including 1449	<input type="checkbox"/>	PCI/	Power to Make Copies or to Inspect	<input type="checkbox"/>
<input type="checkbox"/>	OATH	Oath or Declaration	<input type="checkbox"/>	FOR	Foreign Reference	<input type="checkbox"/>	PETWDISS	Petition to Withdraw from Issue	<input type="checkbox"/>
<input type="checkbox"/>	ADS	Application Data Sheet	<input type="checkbox"/>	NPL	Non-Patent Literature	<input type="checkbox"/>	PETDEC	Petition Decision	<input type="checkbox"/>
<input type="checkbox"/>	APPENDIX	Appendix	<input type="checkbox"/>	FRPR	Foreign Priority Papers	<input type="checkbox"/>	RETMAL	Mail Returned by Post Office	<input type="checkbox"/>
<input type="checkbox"/>	ARTIFACT	Artifact	<input type="checkbox"/>	DIST	Terminal Disclaimer filed	<input type="checkbox"/>	LET.	Miscellaneous Incoming Letter	<input type="checkbox"/>
<input type="checkbox"/>	COMPUTER	Computer Program Listing	<input type="checkbox"/>	L_RACK	L&R Access Acknowledgement	<input type="checkbox"/>	IMIS	Miscellaneous Internal Document	<input type="checkbox"/>
<input type="checkbox"/>	SPECNO	Specification Not in English	<input type="checkbox"/>	ROCKET	Request for Expedited (Rocket Docket)	<input type="checkbox"/>	STATUS.LET	Request for status of Application	<input type="checkbox"/>
<input type="checkbox"/>	136A	Blanket authorization to charge fees	<input type="checkbox"/>	EBCC.AD	Notice of C.AD due to EBC update	<input type="checkbox"/>			<input type="checkbox"/>



on the merits (whichever is later); or (3) Before the mailing date of the first Office Action after filing a request for continued examination (RCE) under §1.114, and therefore, no Statement under 37 C.F.R. § 1.97(e) or fee under 37 C.F.R. § 1.17(p) is required.

The present Information Disclosure Statement is being filed thirty days or fewer from the communication from a foreign patent office and a Statement Under 37 C.F.R. §1.704(d) is attached.

WO 02/059159, JP 2002-226439, JP 11-228501, JP 7-10909 and JP 2006-513303 were cited in a Japanese Office Action for counterpart Japanese Application No. 2004-209935 dated August 1, 2006, copy attached.

In compliance with the concise explanation requirement under 37C.F.R. §1.98(a)(3) for foreign language documents, Applicants submit English language Abstracts and machine translations for JP 7-10909 and JP 11-228501 and submit an English language Abstract for JP 2002-226439.

Additionally, U.S. Patent No. 6,858,573 is a continuation of WO 02/059159, U.S. Patent No. 6,437,186 and U.S. Patent Application Publication No. 2002/0137970 correspond to JP 2002-226439 and WO 2004/067588 is the International Publication of JP 2006-513303.

Also, the article by Iorio et al., entitled "Synthesis of colchifoline from deacetylcolchicine", Canadian Journal of Chemistry, 1981, 59 (2), pages 283 to 284 was previously submitted in the Information Disclosure Statement filed March 22, 2006.

The submission of the listed documents is not intended as an admission that any such document constitutes prior art against the claims of the present application. Applicant does not

waive any right to take any action that would be appropriate to antedate or otherwise remove any listed document as a competent reference against the claims of the present application.

The USPTO is directed and authorized to charge all required fees, except for the Issue Fee and the Publication Fee, to Deposit Account No. 19-4880. Please also credit any overpayments to said Deposit Account.

Respectfully submitted,



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Abraham J. Rosner  
Registration No. 33,276

SUGHRUE MION, PLLC  
Telephone: (202) 293-7060  
Facsimile: (202) 293-7860

WASHINGTON OFFICE

**23373**

CUSTOMER NUMBER

Date: August 25, 2006



**PATENT APPLICATION**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of

Docket No: Q92194

Nobuhiko TSUDA, et al.

Appln. No.: 10/564,642

Group Art Unit: 1714

Confirmation No.: 1516

Examiner: Not Yet Assigned

Filed: January 13, 2006

For: METHOD FOR PRODUCING FLUORINE-CONTAINING POLYMER, AQUEOUS  
DISPERSION OF FLOURINE-CONTAINING POLYMER, 2-  
ACYLOXYCARBOXYLIC ACID DERIVATIVE, AND SURFACE ACTIVE AGENT

**STATEMENT UNDER 37 C.F.R. § 1.97(e)**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

The undersigned hereby states, upon information and belief:

That each item of information contained in the Information Disclosure Statement filed  
concurrently herewith was first cited in any communication from a foreign patent office in a  
counterpart foreign application not more than three months prior to the filing of said Information  
Disclosure Statement.

Respectfully submitted,

SUGHRUE MION, PLLC  
Telephone: (202) 293-7060  
Facsimile: (202) 293-7860

Abraham J. Rosner  
Registration No. 33,276

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**STATEMENT UNDER 37 C.F.R. § 1.704(d)**

Commissioner for Patents  
P.O. Box 1450  
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Sir:

The undersigned hereby states, upon information and belief:

That each item of information contained in the Information Disclosure Statement filed concurrently herewith was cited in a communication from a foreign patent office in a counterpart foreign application, and that the communication was not received by any individual designated in 37 C.F.R. § 1.56(c) more than thirty days prior to the filing of said Information Disclosure Statement.

Respectfully submitted,

Abraham J. Rosner  
Registration No. 33,276

SUGHRUE MION, PLLC  
Telephone: (202) 293-7060  
Facsimile: (202) 293-7860

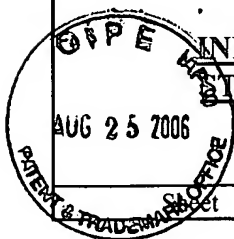
WASHINGTON OFFICE

**23373**

CUSTOMER NUMBER

Date: August 25, 2006

Substitute for Form 1449 A &amp; B/PTO



# **INFORMATION DISCLOSURE STATEMENT BY APPLICANT**

(use as many sheets as necessary)

## *Complete if Known*

Application Number	10/564,642
Confirmation Number	1516
Filing Date	January 13, 2006
First Named Inventor	Nobuhiko TSUDA
Art Unit	1714
Examiner Name	Not Yet Assigned
Attorney Docket Number	Q92194

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## **U.S. PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Document Number		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document
		Number	Kind Code <sup>2</sup> (if known)		
		US 6,437,186	B1	08-20-2002	OSTGARD et al.
		US 2002/0137970	A1	09-26-2002	OSTGARD et al.
		US 6,858,573	B1	02-22-2005	GRADE et al.
		US			
		US			
		US			
		US			

## **FOREIGN PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document			Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Translation <sup>6</sup>
		Country Code <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)			
		JP	7-10909		01-13-1995	DAI ICHI KOGYO SEIYAKU CO LTD	Abstract and machine translation
		JP	11-228501		08-24-1999	ASAHI DENKA KOGYO KK	Abstract and machine translation
		WO	02/059159	A1	08-01-2002	IMPERIAL CHEMICAL INDUSTRIES PLC	
		JP	2002-226439		08-14-2002	DEGUSSA	Abstract
		WO	2004/067588	A1	08-12-2004	3M INNOVATIVE PROPERTIES COMPANY	
		JP	2006-513303		04-20-2006	3M INNOVATIVE PROPERTIES COMPANY	

## **NON PATENT LITERATURE DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city, and/or country where published.	Translation <sup>6</sup>

Examiner Signature		Date Considered	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kind Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov), MPEP 901.04 or follow the hyperlink from the title of the document to the intranet. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST. 3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to indicate here if English language Translation is attached.